



UT06 Rec'd PCT/PTO 24 SEP 2002

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of: Lassovsky

Serial No.: 10/088,959

Filed: March 22, 2002

Group Art Unit: 2875

Examiner:

For: LUMINAIRE MODULE HAVING MULTIPLE ROTATABLY ADJUSTABLE REFLECTORS

**TRANSMITTAL OF INFORMATION DISCLOSURE STATEMENT  
WITHIN THREE MONTHS OF FILING OR BEFORE MAILING  
OF FIRST OFFICE ACTION (37 CFR 1.97(b))**


Assistant Commissioner for Patents  
Washington, D.C. 20231

The information disclosure statement submitted herewith is being filed within three months of the filing date of the application or date of entry into the national stage of an international application or before the mailing date of a first Office Action on the merits, whichever event occurs last. 37 CFR 1.97(b).

Date: September 6, 2002

Reg. No.: 41,369

Tel. No.: 734/913-9300

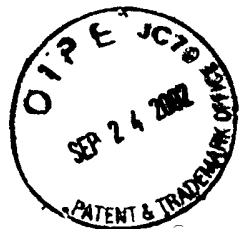
  
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CERTIFICATE OF MAILING

I hereby certify that this paper (along with any paper referred to as being attached or enclosed) is being deposited with the United States Postal Service on the date shown below with sufficient postage as first class mail in an envelope addressed to: Assistant Commissioner for Patents, Washington, D.C. 20231.

Date 9-19, 2002

  
Ann K. Andrusiak



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INFORMATION DISCLOSURE STATEMENT

Assistant Commissioner for Patents  
Washington, D.C. 20231

Dear Sir:

In compliance with the duty of disclosure under 37 C.F.R. §1.56, it is respectfully requested that this Information Disclosure Statement be entered and the references listed on attached Form PTO-1449 be considered by the Examiner and made of record. The above-referenced application is a U.S. National Phase of PCT/US00/40760, filed August 28, 2000, which corresponds to U.S. Patent Application Serial No. 09/383,311, filed August 26, 1999. In accordance with 37 C.F.R. §1.98(d), copies of the listed references are not required, although newly found references pertaining to this patent application are attached to this form PTO-1449 for the convenience of the Examiner.

In accordance with 37 C.F.R. §1.97(b), this Information Disclosure Statement is not to be construed as a representation that a search has been made or that no other possible material information as defined in 37 C.F.R. §1.56(a) exists.

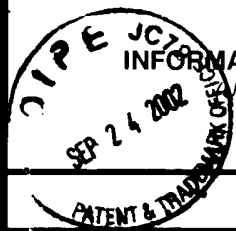
Respectfully submitted,

By: Douglas L. Wathen

Douglas L. Wathen

Reg. No. 41,369

Date: September 6, 2002



## INFORMATION DISCLOSURE CITATION

(Use several sheets if necessary)

ATTY DOCKET NO.  
LLB-10106/04SERIAL NO.  
10/088,959

Lassovsky

FILING  
March 22, 2002

GROUP

## U.S. PATENT DOCUMENTS

| *EXAMINER<br>INITIAL | DOCUMENT NUMBER | DATE    | NAME            | CLASS | SUBCLASS | FILING DATE<br>IF APPROPRIATE |
|----------------------|-----------------|---------|-----------------|-------|----------|-------------------------------|
|                      | 2,619,585       | 11/1952 | Peters          | 362   | 322      |                               |
|                      | 4,077,365       | 2/1977  | Stempfle et al. | 240   | 35       |                               |
|                      | 4,872,098       | 10/1989 | Romano          | 362   | 283      |                               |
|                      | 5,207,496       | 5/1993  | Stanuch et al.  | 362   | 35       |                               |
|                      | 5,426,417       | 6/1995  | Stanuch         | 362   | 35       |                               |
|                      | 5,564,815       | 10/1996 | Litman et al.   | 362   | 147      |                               |
|                      | 2,258,354       | 10/1941 | Doane           |       |          |                               |
|                      | 2,284,534       | 5/1942  | Orth            | 240   | 73       |                               |
|                      | 2,330,924       | 10/1943 | Rolph           | 240   | 25       |                               |
|                      | 2,436,635       | 2/1948  | DeBishop, Jr.   |       |          |                               |
|                      | 2,574,031       | 11/1951 | Gretener        | 240   | 41.3     |                               |

## FOREIGN PATENT DOCUMENTS

|  | DOCUMENT NUMBER | DATE | COUNTRY | CLASS | SUBCLASS | TRANSLATION |    |
|--|-----------------|------|---------|-------|----------|-------------|----|
|  |                 |      |         |       |          | YES         | NO |
|  |                 |      |         |       |          |             |    |
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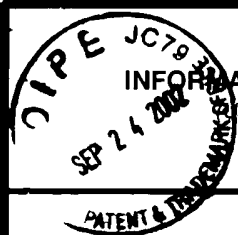
## OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)

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EXAMINER

DATE CONSIDERED

\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.



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|----------------------|-----------------|---------|---------------|-------|----------|-------------------------------|
|                      | 3,099,403       | 7/1963  | Strawick      | 240   | 47       |                               |
|                      | 3,166,253       | 1/1965  | Aron          | 240   | 46.09    |                               |
|                      | 3,866,036       | 2/1975  | Taltavull     | 240   | 51.11    |                               |
|                      | 4,499,529       | 2/1985  | Figueroa      | 362   | 283      |                               |
|                      | 4,625,267       | 11/1986 | Mikalonis     | 362   | 150      |                               |
|                      | 4,651,259       | 3/1987  | Wendel        | 362   | 217      |                               |
|                      | 5,426,575       | 6/1995  | Richards      | 362   | 283      |                               |
|                      | 1,421,838       | 7/1922  | Schaeffer     |       |          |                               |
|                      | 5,816,691       | 10/1998 | Gordin et al. | 362   | 283      |                               |
|                      |                 |         |               |       |          |                               |
|                      |                 |         |               |       |          |                               |

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|  |                 |      |         |       |          |             |    |

## OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)

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